

PATENT

Attorney Docket No.: JHU1400-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Harry C. Dietz

Art Unit:

1635

Application No.:

09/163,289

Examiner:

M. Schmidt

Filed:

September 29, 1998

Title:

DELIVERY CONSTRUCT FOR ANTISENSE NUCLEIC ACIDS

AND METHODS OF USE

RECEIVED

Commissioner for Patents Washington, D.C. 20231

JAN 2 7 2003

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.97, enclosed are references relating to the above-identified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and copies are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and that its consideration be made of written record in the application file.

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CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, **January 13, 2003**, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Carrie E. Bickle

(Name of Person Mailing Paper)

January 13, 2003

(Date)



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TRANSMITTAL SHEET

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Transmitted herewith for the above-identified application please find:

- Information Disclosure Statement (2 pages); 1.
- 2. Form PTO-1449 (1 page);
- 3. Three (3) Other Documents;
- Check No. 527158 in the amount of \$180.00; and 4.
- 5. Return Receipt Postcard.

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Page Two

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Enclosed is Check No.: 527158 in the amount of \$180.00 to cover the Information Disclosure Statement fee. The Commissioner is authorized to charge any other required fees associated with the filings submitted herewith, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this Transmittal sheet is enclosed.

Respectfully submitted,

Date: January 13, 2003

Lisa A. Hăile, J.D., Ph.D. Registration No.: 38,347 Telephone: (858) 677-1456

Facsimile: (858) 677-1465

USPTO CUSTOMER NUMBER 28213

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, CA 92121-2133

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FORM PTO- U.S. Departme Trademark Off	nt of Con	nnerce Patent and	Docke	t No.: JHU1400-1		Application No. 09/163,2		
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SUPPLEME DISCLOSUR	NTAL II E STATE	NFORMATION EMENT BY APPLIE	AND Filing	Date: September 29, 199	8 Group Art Unit: 1635		2003	
U.S. PATENT DOCUMENTS								
EXAM. INITIALS	\	DOCUMENT NUMBER	DATE	NAME	CLASS	S SUB- CLASS	FILING DATE	
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FOREIGN PATENT DOCUMENTS								
EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	S SUB- CLASS	TRANSLATION (YES/NO)	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)								
	AA	Sontheimer, Exik J., "The spliceosome shows its metal," <i>Nature Publishing Group</i> , Vol. 8, No. 1, pp. 11-13, January 2001.						
AB Abourader et al., "Reversion of Human Glioblastoma Malignancy by U1 Small Nuclear RNA/R bozyme Targeting of Scatter Factor/Hepatocyte Growth Factor and c-met Expression," <i>Journal of the National Cancer Institute</i> , Vol. 91, No. 18, pp. 1548-1556, September 15, 1999.								
	AC	Montgomery and Dietz, "Inhibition of fibrillin 1 expression using U1 snRNA as a vehicle for the presentation of antisense targeting sequence," <i>Human Molecular Gentics</i> , Vol. 6, No. 4, pp. 519-525, (1997).						
EXAMINER				DATE CON	DATE CONSIDERED			
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.